

Global Reporting Initiative Scorecard

2009 Anglo American Report to Society: Delivering sustainable value

Key: Report to Society (RtS); Annual Report (AR); Anglo American website (AA-Web); None to report (NtR)

| Indicator              | Description                                                                                                                                | RtS                                                                                                                                                            | Reason for not reporting additional information |
|------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| 1.1                    | Senior decision maker’s statement                                                                                                          | RtS: 2-5                                                                                                                                                       |                                                 |
| 1.2                    | Key impacts, risks and opportunities                                                                                                       | RtS: 2-9 and throughout; AR: 65-67                                                                                                                             |                                                 |
| PROFILE                |                                                                                                                                            |                                                                                                                                                                |                                                 |
| 2.1                    | Name of organization                                                                                                                       | RtS: cover                                                                                                                                                     |                                                 |
| 2.2                    | Primary brands, products, services                                                                                                         | RtS: inside front cover;<br>AR: throughout                                                                                                                     |                                                 |
| 2.3                    | Operational structure                                                                                                                      |                                                                                                                                                                |                                                 |
| 2.4                    | Headquarters                                                                                                                               | RtS: inside front cover; 46-47                                                                                                                                 |                                                 |
| 2.5                    | Countries of operation                                                                                                                     |                                                                                                                                                                |                                                 |
| 2.6                    | Nature of ownership                                                                                                                        | Publicly held and traded company                                                                                                                               |                                                 |
| 2.7                    | Markets served                                                                                                                             | RtS: inside front cover                                                                                                                                        |                                                 |
| 2.8                    | Scale of reporting organisation                                                                                                            | AR: 34-62                                                                                                                                                      |                                                 |
| 2.9                    | Significant changes                                                                                                                        | RtS: 44-45; 21                                                                                                                                                 |                                                 |
| 2.1                    | Awards                                                                                                                                     | AA-web: (awards and recognition)                                                                                                                               |                                                 |
| REPORT PARAMETERS      |                                                                                                                                            |                                                                                                                                                                |                                                 |
| 3.1                    | Reporting period                                                                                                                           | 01 January 2009 – 31 December 2009                                                                                                                             |                                                 |
| 3.2                    | Previous report                                                                                                                            | 01 January 2008 – 31 December 2008                                                                                                                             |                                                 |
| 3.3                    | Reporting cycle                                                                                                                            | Annual                                                                                                                                                         |                                                 |
| 3.4                    | Contact point                                                                                                                              | RtS: inside back cover                                                                                                                                         |                                                 |
| 3.5                    | Process for determining report content                                                                                                     | RtS: contents page                                                                                                                                             |                                                 |
| 3.6                    | Report boundary                                                                                                                            |                                                                                                                                                                |                                                 |
| 3.7                    | Limitations                                                                                                                                |                                                                                                                                                                |                                                 |
| 3.8                    | Reporting on subsidiaries, etc.                                                                                                            |                                                                                                                                                                |                                                 |
| 3.9                    | Data measurement techniques                                                                                                                | AA-web:<br>( <a href="http://www.angloamerican.co.uk/aa/development/approach/policies/">http://www.angloamerican.co.uk/aa/development/approach/policies/</a> ) |                                                 |
| 3.1                    | Explanation of re-statements                                                                                                               | NtR                                                                                                                                                            |                                                 |
| 3.11                   | Significant changes                                                                                                                        | RtS: 44-45                                                                                                                                                     |                                                 |
| 3.12                   | GRI content index                                                                                                                          | AA-web                                                                                                                                                         |                                                 |
| 3.13                   | Assurance policy and practice                                                                                                              | RtS: contents page; 42-43                                                                                                                                      |                                                 |
| GOVERNANCE             |                                                                                                                                            |                                                                                                                                                                |                                                 |
| 4.1                    | Governance structure                                                                                                                       | RtS: 10; AR: 75-79                                                                                                                                             |                                                 |
| 4.2                    | Indicate whether the chair of the highest governance body is also an executive officer                                                     | AR: 75                                                                                                                                                         |                                                 |
| 4.3                    | Board structure                                                                                                                            | AR: 68-70                                                                                                                                                      |                                                 |
| 4.4                    | Mechanisms for shareholders and employees to provide recommendations to the Board                                                          | AR: 79                                                                                                                                                         |                                                 |
| 4.5                    | Executive remuneration                                                                                                                     | AR: 83                                                                                                                                                         |                                                 |
| 4.6                    | Conflict of interest                                                                                                                       | AR: 76                                                                                                                                                         |                                                 |
| 4.7                    | Qualifications and expertise of Board                                                                                                      | AR: 68-70                                                                                                                                                      |                                                 |
| 4.8                    | Mission, vision, codes of conduct                                                                                                          | RtS: inside front cover; 12<br>AA-web (vision and principles)                                                                                                  |                                                 |
| 4.9                    | Procedures of highest governance body for overseeing economic, environmental and social performance including compliance, codes of conduct | AR: 76; RtS: 10                                                                                                                                                |                                                 |
| 4.1                    | Process for evaluating the highest governance body’s own performance in relation to S&SD.                                                  | AR: 76                                                                                                                                                         |                                                 |
| EXTERNAL COMMITMENTS   |                                                                                                                                            |                                                                                                                                                                |                                                 |
| 4.11                   | Precautionary approach                                                                                                                     | RtS: 3                                                                                                                                                         |                                                 |
| 4.12                   | External commitments                                                                                                                       | RtS: 2-5                                                                                                                                                       |                                                 |
| 4.13                   | Memberships                                                                                                                                | AA-web                                                                                                                                                         |                                                 |
| STAKEHOLDER ENGAGEMENT |                                                                                                                                            |                                                                                                                                                                |                                                 |
| 4.14                   | Stakeholder groups                                                                                                                         | RtS: contents page                                                                                                                                             |                                                 |
| 4.15                   | Stakeholder identification                                                                                                                 | RtS: contents page; 11 and throughout                                                                                                                          |                                                 |
| 4.16                   | Approach to stakeholder engagement                                                                                                         | RtS: contents page; 11 and throughout                                                                                                                          |                                                 |
| 4.17                   | Stakeholder topics and concerns                                                                                                            | RtS: contents page<br>AA-web: SEAT reports                                                                                                                     |                                                 |
|                        |                                                                                                                                            |                                                                                                                                                                |                                                 |

| MANAGEMENT APPROACH AND PERFORMANCE INDICATORS |                                                                                                                                                                                                                       |                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ECONOMIC                                       |                                                                                                                                                                                                                       |                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| Management approach                            |                                                                                                                                                                                                                       | RtS 12-13; 21-24; 26; 30-34; 37-38; AR: throughout |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| EC1 (C)                                        | Economic value generated and distributed, including revenues, operating costs, employee compensation, donations and other community investments, retained earnings, and payments to capital providers and governments | RtS: 12-13; 35; AR: 30-34                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| EC2 (C)                                        | Financial implications and other risks and opportunities for the organisation's activities due to climate change                                                                                                      | RtS: 22-25; 26                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| EC3 (C)                                        | Coverage of the organisation's defined benefit plan obligations                                                                                                                                                       | AR: 87                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| EC4 (C)                                        | Significant financial assistance received from government                                                                                                                                                             | NtR                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| EC5 (A)                                        | Range of ratios of standard entry level wage compared to local minimum wage at significant locations of operation                                                                                                     | -                                                  | All wages are determined at operational level to national norms and based on skills and in accordance with Anglo American Human Resources Principles and Business Principles.                                                                                                                                                                                                                                                                                                                                                                                                       |
| EC6 (C)                                        | Policy, practices, and proportion of spending on locally based suppliers at significant locations of operation.                                                                                                       | RtS: 41                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| EC7 (C)                                        | Procedures for local hiring and proportion of senior management hired from the local community at significant locations of operation                                                                                  | -                                                  | More than 95% of employees are from host countries and more than 95% of senior management at corporate centres is from host countries (with the exception of the London office). The number of senior management members from host <i>communities</i> is not recorded - some Anglo American operations are situated in very remote locations with no, or small, local communities. However, most business units have policies and procedures relating to local hiring in place, in addition to training programmes aimed at providing local communities with mining-related skills. |
| EC8 (C)                                        | Development and impact of infrastructure investments and services provided primarily for public benefit through commercial, in-kind, or pro bono engagement                                                           | RtS: 18-19; 26; 32-37                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| EC9 (A)                                        | Understanding and describing significant indirect economic impacts, including the extent of impacts                                                                                                                   | RtS: 12-13; 32-37                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |

| ENVIRONMENTAL       |                                                                                                                                                                                                              |                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|---------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Management approach |                                                                                                                                                                                                              | RtS: 8-11; 22-31; AA-Web |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| EN1 (C)             | Materials used by weight or volume                                                                                                                                                                           | -                        | Materials used by weight or volume are not a significant environmental, social or economic impact of the company because Anglo American is a producer of primary raw materials and does therefore not use raw materials or semi-manufactured goods to produce a final product. Packaging is, for the same reason, not a material issue either: the majority of our products are transported in bulk. Process materials, aside from fuels (reported on page 45 in Gj), are not recorded on a Group level owing to the diverse processes used throughout Anglo American operations, although some business units do report these individually |
| EN2 (C)             | Percentage of materials used that are recycled input materials                                                                                                                                               | -                        | Anglo American is a producer of primary raw materials. The quantities of recycled materials used as inputs are not material, aside from Scaw Metals that used 2.9 million tonnes of recycled scrap metal for producing its final product during 2009                                                                                                                                                                                                                                                                                                                                                                                        |
| EN3 (C)             | Direct energy consumption by primary energy source                                                                                                                                                           | RtS: 22; 45              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| EN4 (C)             | Indirect energy consumption by primary source                                                                                                                                                                | RtS: 22; 45              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| EN5 (A)             | Energy saved due to conservation and efficiency improvements                                                                                                                                                 | RtS: 22-23               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| EN6 (A)             | Initiatives to provide energy-efficient or renewable energy based products and services, and reductions in energy requirements as a result of these initiatives                                              | RtS: 22-23               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| EN7 (A)             | Initiatives to reduce indirect energy consumption and reductions achieved                                                                                                                                    | RtS: 22-23               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| EN8 (C)             | Total water withdrawal by source                                                                                                                                                                             |                          | This information is not currently available at a Group level, despite improvements in site-level data accuracy. The use of the Footprint Model was reviewed during 2009 and will be revived during 2010 when this metric can start to be reported on                                                                                                                                                                                                                                                                                                                                                                                        |
| EN11 (C)            | Location and size of land owned, leased, managed in, or adjacent to, protected areas and areas of high biodiversity value outside protected areas                                                            | RtS: 29                  | Refer to page 29 for a description of Anglo American's partnership with Proteus 2012, which will help build a database of key protected areas around the world, against which we intend to map our operational footprint                                                                                                                                                                                                                                                                                                                                                                                                                    |
| EN12 (C)            | Description of significant impacts of activities, products, and services on biodiversity in protected areas and areas of high biodiversity value outside protected areas                                     | RtS: 29-30               | Detailed impacts on such areas are managed at an operational level and reported accordingly in business unit sustainable development reports. Refer to the inside back cover for a list of those reports, which may also be found online on <a href="http://www.angloamerican.co.uk">www.angloamerican.co.uk</a>                                                                                                                                                                                                                                                                                                                            |
| EN13 (A)            | Habitats protected or restored                                                                                                                                                                               | RtS: 29-30               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| EN14 (A)            | Strategies, current actions, and future plans for managing impacts on biodiversity                                                                                                                           | RtS: 29-30               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| EN16 (C)            | Total direct and indirect greenhouse gas emissions by weight                                                                                                                                                 | RtS: 22-23               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| EN17 (C)            | Other relevant indirect greenhouse gas emissions by weight                                                                                                                                                   | NtR                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| EN18 (A)            | Initiatives to reduce greenhouse gas emissions and reductions achieved                                                                                                                                       | RtS: 23-24               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| EN19 (C)            | Emissions of ozone-depleting substances by weight                                                                                                                                                            | Not material             | Ozone-depleting substances (ODSs) are not a significant environmental impact of the company because ODSs are predominantly used as refrigerants, solvents, blowing agents for plastic foam manufacture, and fire extinguishers. As such it is not a substance that Anglo American considers as having significance in our business                                                                                                                                                                                                                                                                                                          |
| EN20 (C)            | NO <sub>x</sub> , SO <sub>2</sub> and other significant air emissions by type and weight                                                                                                                     | -                        | SO <sub>2</sub> and NO <sub>x</sub> are primarily local pollutants with most significant impact potentially occurring in the close vicinity of the source. These are reported in business unit reports (refer to inside back cover of RtS for list)                                                                                                                                                                                                                                                                                                                                                                                         |
| EN21 (C)            | Total water discharge by quality and destination.                                                                                                                                                            | -                        | Water discharge information is not aggregated at a Group level due to the diverse nature of the business units and their processes. The issue is managed on a business unit level, and therefore monitored and reported on that level. For more information refer to the sustainable development reports of Anglo Platinum, Scaw Metals, Anglo American Chile and Anglo American Brazil. Major non-compliances are reported at a Group level via our alerts process. There were no Level 3 (that is, significant, non-reversible, damage) incidents during 2009                                                                             |
| EN22 (C)            | Total weight of waste by type and disposal method.                                                                                                                                                           | -                        | Total weight of waste by type and disposal method is not gathered at a Group level due to the diverse nature of the business units and their processes. The issue is managed on a business unit level, and therefore monitored and reported on that level. For more information refer to the sustainable development reports of Anglo Platinum Scaw Metals, Anglo American Chile and Anglo American Brazil. We are in the process of -exploring ways to meaningfully aggregate these data at a Group level                                                                                                                                  |
| EN23 (C)            | Total number and volume of significant spills.                                                                                                                                                               | -                        | No significant spills to report                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| EN24 (A)            | Weight of transported, imported, exported, or treated waste deemed hazardous under the terms of the Basel Convention Annex I, II, III, and VIII, and percentage of transported waste shipped internationally | NtR                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| EN26 (C)            | Initiatives to mitigate environmental impacts of products and services, and extent of impact mitigation                                                                                                      | RtS: 24                  | (Clean coal)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| EN27 (C)            | Percentage of products sold and their packaging materials that are reclaimed by category.                                                                                                                    | -                        | Anglo American's 'products' are raw materials typically used as inputs into further manufacturing processes. Packaging is not applicable to bulk product transport by rail and bulk ship                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| EN28 (C)            | Monetary value of significant fines and total number of non-monetary sanctions for non-compliance with environmental laws and regulations                                                                    | -                        | \$8,200 was reported by business units (not including legal costs, which were included in the past). This figure is being investigated                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| MM1                 | Land disturbed or rehabilitated                                                                                                                                                                              | RtS: 28                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| MM2                 | Sites requiring biodiversity plans                                                                                                                                                                           | RtS: 29                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| MM3                 | Describe approach to management of overburden, rock, tailings, and sludges/residues including: assessment of risks; structural stability of storage; metal leaching potential; and hazardous properties      | RtS: 31                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |

| LABOUR              |                                                                                                                                                                                                                      |                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|---------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Management approach |                                                                                                                                                                                                                      | RtS: 20-21; AR: 72; AA-Web                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| LA1 (C)             | Total workforce by employment type, employment contract, and region.                                                                                                                                                 | RtS: Inside front cover; 21                                                                                                                     | Part time vs. full-time permanent employees are not reported in a Group level because the great variety of labour legislation and definitions within the various operations in several different countries.                                                                                                                                                                                                                                                                                                                                                            |
| LA2 (C)             | Total number and rate of employee turnover by age group, gender, and region                                                                                                                                          | RtS: 45                                                                                                                                         | Employee turnover reported at a Group level according to resignation, redundancy, dismissal and other, but not broken down into age, gender and regional groupings. Information on age and gender are not always possible to collect because employees have no obligation to disclose either. Age is not considered material as the focus on the reason for leaving, and not the demographics of those leaving. Regional groupings are not reported because the Group is managed according to business units, which are in many cases spread across, or share, regions |
| LA3 (A)             | Benefits provided to full-time employees that are not provided to temporary or part-time employees, by major operations                                                                                              | -                                                                                                                                               | Share options and pension schemes are an example of benefits provided only to fulltime employees                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| LA4 (C)             | Percentage of employees covered by collective bargaining agreements                                                                                                                                                  | RtS: 20                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| LA5 (C)             | Minimum notice period(s) regarding significant operational changes, including whether it is specified in collective agreements.                                                                                      | -                                                                                                                                               | Notice periods vary significantly based on skills set and national norms, and are generally specified in both individual and collective contractual agreements                                                                                                                                                                                                                                                                                                                                                                                                         |
| LA6 (A)             | Percentage of total workforce represented in formal joint management-worker health and safety committees that help monitor and advise on occupational health and safety programmes                                   | -                                                                                                                                               | In the mining industry, this is determined by law                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| LA7 (C)             | Rates of injury, occupational diseases, lost days, and absenteeism, and number of work-related fatalities by region                                                                                                  | RtS: 14-15                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| LA8 (C)             | Education, training, counseling, prevention, and risk-control programmes in place to assist workforce members, their families, or community members regarding serious diseases                                       | RtS: 18-19; 37                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| LA9 (A)             | Health and safety topics covered in formal agreements with trade unions                                                                                                                                              | -                                                                                                                                               | Covered more generally as this is union and area specific                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| LA10 (C)            | Average hours of training per year per employee by employee category                                                                                                                                                 | RtS: 20                                                                                                                                         | Anglo American measures the total number bursaries, apprenticeships, graduate trainees and supernumerary training and not the number of hours of training because bursaries, for example, are often part-time and the number of hours engaged in related work is discretionary                                                                                                                                                                                                                                                                                         |
| LA11 (A)            | Programmes for skills management and lifelong learning that support the continued employability of employees and assist them in managing career endings                                                              | RtS: 20-21                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| LA12 (A)            | Percentage of employees receiving regular performance and career development reviews                                                                                                                                 | RtS: 20                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| LA13 (C)            | Composition of governance bodies and breakdown of employees per category according to gender, age group, minority group membership, and other indicators of diversity                                                | RtS: 10; AR: 68-70                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| LA14 (C)            | Ratio of basic salary of men to women by employee category                                                                                                                                                           | -                                                                                                                                               | Anglo American's Business Principles and Human Resources Principles are based on equal opportunity and non-discrimination. All remuneration is calculated according to a single banding framework, which does not take into account gender, race or age. The framework is based on pre-defined role descriptions                                                                                                                                                                                                                                                       |
| MM4                 | Lockouts or strikes                                                                                                                                                                                                  | -                                                                                                                                               | 3,929 man-days lost due to industrial disputes, including strikes, lock-outs and go-slows                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| MM5                 | Total number of operations taking place in or adjacent to Indigenous Peoples' territories, and number and percentage of operations or sites where there are formal agreements with communities of Indigenous Peoples | <a href="http://www.angloamerican.co.uk/aa/development/society/indpeople/">http://www.angloamerican.co.uk/aa/development/society/indpeople/</a> |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| HUMAN RIGHTS        |                                                                                                                                                                                                                      |                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| Management approach |                                                                                                                                                                                                                      | RtS: 33; AA-Web                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| HR1 (C)             | Percentage and total number of significant investment agreements that include human rights clauses or that have undergone human rights screening                                                                     | -                                                                                                                                               | Anglo American's investment appraisal guideline, which is applied to 100% of potential investments, includes a risk sensitivity and scenario analysis. Among others, social, political, safety, health, environmental risks are assessed. These include human rights elements                                                                                                                                                                                                                                                                                          |
| HR2 (C)             | Percentage of significant suppliers and contractors that have undergone screening on human rights and actions taken                                                                                                  | RtS p.40-41                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| HR3 (A)             | Total hours of employee training on policies and procedures concerning aspects of human rights                                                                                                                       | -                                                                                                                                               | None to report. Training was put on hold until after the launch of the revised Business Principles                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| HR4 (C)             | Total number of incidents of discrimination and actions taken                                                                                                                                                        | RtS: 21                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| HR5 (C)             | Operations identified in which the right to exercise freedom of association and collective bargaining may be at significant risk, and actions taken to support these rights                                          | -                                                                                                                                               | Anglo American Business Principles protect human rights and are applicable to all operations. The right to exercise freedom of association and collective bargaining are not a material risk to the Company.                                                                                                                                                                                                                                                                                                                                                           |
| HR6 (C)             | Operations identified as having significant risk for incidents of child labour, and measures taken to contribute to the elimination of child labour                                                                  | RtS: 21                                                                                                                                         | Child labour is not a material risk to Anglo American operations. The Group Business Principles and its commitment to the UN Global Compact principles specifically prevent child labour                                                                                                                                                                                                                                                                                                                                                                               |
| HR7 (C)             | Operations identified as having significant risk for incidents of forced or compulsory labour, and measures to contribute to the elimination of forced or compulsory labour                                          | RtS: 21                                                                                                                                         | Forced labour is not a material risk at any Anglo American operation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| HR8 (A)             | Security personnel trained on company human rights policy/procedure.                                                                                                                                                 | -                                                                                                                                               | None to report                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| HR9 (A)             | Total number of incidents of violations involving rights of indigenous people and actions taken                                                                                                                      | -                                                                                                                                               | None to report                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |

|                               |                                                                                                                                                                                                                                   |                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
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| PR9 (C)                       | Monetary value of significant fines for non-compliance with laws and regulations concerning the provision and use of products and services.                                                                                       | NtR                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| MM12                          | Programmes and progress relating to materials stewardship                                                                                                                                                                         | NtR                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| SO1 (C)                       | Nature, scope, and effectiveness of any programmes and practices that assess and manage the impacts of operations on communities, including entering, operating, and exiting                                                      | RtS: 11; 28; 32-39                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| SO2 (C)                       | Percentage and total number of business units analysed for risks related to corruption                                                                                                                                            | -                                                                                                                                                       | Corruption risk is considered within risk assessments conducted for all businesses along with many other forms of risk Anglo faces. Internal audit procedures also consider the risk of corruption within any process that is reviewed, along with the controls to mitigate the risk. If controls are not deemed sufficient from a design or operational effectiveness point of view then such matters will be reported along with management actions. Both the risk management and internal audit procedures are aimed at identifying broad risks facing the business relevant to the individual scope of the risk assessment and will consider corruption risk accordingly. Management remain responsible for the operation of controls to minimise the risk of corruption                   |
| SO3 (C)                       | Percentage of employees trained in organisation's anti-corruption policies and procedures                                                                                                                                         | -                                                                                                                                                       | None to report – training was put on hold until the release of the new Business Integrity policy                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| SO4 (C)                       | Actions taken in response to incidents of corruption                                                                                                                                                                              | -                                                                                                                                                       | No significant incidents were reported                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| SO5 (C)                       | Public policy positions and participation in public policy development and lobbying                                                                                                                                               | RtS: 25; 27                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| SO6 (A)                       | Total value of financial and in-kind contributions to political parties, politicians, and related institutions by country                                                                                                         | RtS: 12                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| SO7 (A)                       | Total number of legal actions for anti-competitive behaviour, anti-trust, and monopoly practices and their outcomes                                                                                                               | NtR                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| SO8 (C)                       | Monetary value of significant fines and total number of non-monetary sanctions for non-compliance with laws and regulations                                                                                                       | RtS p.14 and EN 28                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| MM6A                          | Number and description of significant disputes relating to land use, customary rights of local communities and indigenous peoples                                                                                                 | RtS: 33-34                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| MM6B                          | The extent to which grievance mechanisms were used to resolve disputes relating to land use, customary rights of local communities and indigenous peoples, and their outcomes                                                     | RtS: 7                                                                                                                                                  | 50% of significant operations now have a grievance mechanism in place, but no serious social complaints were received via these mechanisms                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| MM7                           | Number (and percentage) of company operating sites where artisanal and small-scale mining (ASM) takes place on, or adjacent to, the site; describe the associated risks and the actions taken to manage and mitigate these risks. | NtR                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| MM8                           | List sites where resettlements took place, the number of households resettled in each, and how their livelihoods were affected in the process                                                                                     | RtS p. 33-34                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| MM9                           | Number and percentage of operations with closure plans                                                                                                                                                                            | RtS: 28                                                                                                                                                 | In addition, all operations in South Africa are, by law, expected to have mine closure plans. All of Anglo American operations are expected to have closure plans, though they are in various stages of maturity                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| MM9                           | Number and percentage of operations with closure plans                                                                                                                                                                            | RtS: 28                                                                                                                                                 | In addition, all operations in South Africa are, by law, expected to have mine closure plans. All of Anglo American operations are expected to have closure plans, though they are in various stages of maturity                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| MM10                          | Significant incidents involving communities in which grievance mechanisms have been invoked to address them together with their outcomes                                                                                          | NtR                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| MM11                          | Number and description of incidents affecting employees, communities, or the environment in which emergency preparedness procedures were activated                                                                                | NtR                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>PRODUCT RESPONSIBILITY</b> |                                                                                                                                                                                                                                   |                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>Management approach</b>    |                                                                                                                                                                                                                                   | RtS: 22-25; AA-Web ( Anglo American's most significant product responsibility issue is the use of coal and the associated CO2 emissions when combusted) |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| PR1 (C)                       | Life cycle stages in which health and safety impacts of products and services are assessed for improvement, and percentage of significant products and services categories subject to such procedures                             | -                                                                                                                                                       | Anglo American is actively involved in the various metals consortia that are coordinating Registration, Evaluation and Authorisation of Chemicals (REACH) compliance across industry. Most of the consortia have finalised testing and are consolidating the results for members in order to facilitate the successful registration of products by the first REACH registration deadline. The Anglo Group companies are making steady progress towards the successful registration of their EU-bound products with the European Chemicals Agency (ECHA) by December 2010. In addition, there is ongoing work in collaboration with the metals consortia, to classify our ores and concentrates according to the EU's Classification, Labelling and Packaging (CLP) regulation by December 2010 |
| PR2 (A)                       | Total number of incidents of non-compliance with regulations and voluntary codes concerning health and safety impacts of products and services during their life cycle, by type of outcomes                                       | NtR                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| PR3 (C)                       | Type of product and service information required by procedures and percentage of significant products and services subject to such information requirements                                                                       | -                                                                                                                                                       | Mineral Safety Development Sheets are provided with all mining products and directed at industrial users. None of our products are delivered directly to consumers                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| PR4 (A)                       | Total number of incidents of non-compliance with regulations and voluntary codes concerning product and service information and labeling, by type of outcomes                                                                     | NtR                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| PR5 (A)                       | Practices related to customer satisfaction, including results of surveys measuring customer satisfaction                                                                                                                          | -                                                                                                                                                       | Anglo American does not deal directly with consumers but sells on commodity markets and to a small number of industrial users with whom there is a direct relationship and therefore direct feedback                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| PR6 (C)                       | Programmes for adherence to laws, standards, and voluntary codes related to marketing communications, including advertising, promotion, and sponsorship                                                                           | -                                                                                                                                                       | Not applicable as Anglo American is not directly involved in consumer advertising.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| PR7 (A)                       | Total number of incidents of non-compliance with regulations and voluntary codes concerning marketing communications, including advertising, promotion, and sponsorship by type of outcomes                                       | -                                                                                                                                                       | Not applicable as Anglo American is not directly involved in consumer advertising                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| PR8 (A)                       | Total number of substantiated complaints regarding breaches of customer privacy and losses of customer data                                                                                                                       | -                                                                                                                                                       | None to report - not material as Anglo American does not hold consumer information                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |