

# Non-Managed Operations

| Question ID- I<br>Company Name | Question ID- II<br>Managing Company              | Question ID- III<br>Business Unit | Question ID- IV<br>Shareholders   | Question ID- V<br>Country | Question ID- VI<br>Operation | Question ID- 1<br>Tailings Facility Name / Identifier | Question ID- 2a<br>Location (Latitude) | Question ID- 2b<br>Location (Longitude) | Question ID- 3<br>Ownership              | Question ID- 4<br>Status | Question ID- 5b<br>Date of initial operation (Year) | Question ID- 6<br>Is the Dam currently operated or closed as per currently approved design? | Question ID- 7<br>Filling method | Question ID- 8<br>Current Maximum Height (m) | Question ID- 9<br>Current Tailings Storage Impoundment Volume (m <sup>3</sup> ) | Question ID- 10<br>Planned Tailings Storage Impoundment Volume in 5 years time (m <sup>3</sup> ) | Question ID- 11b<br>Most recent Independent Expert Review (Year) | Question ID- 12<br>Do you have full and complete relevant engineering records including design, construction, operation, maintenance and/or closure. | Question ID- 13a<br>What is your AA TS 602 001 CCS hazard categorisation for this facility, based on consequence of failure? | Question ID- 13b<br>What is your GISTM system hazard categorisation of this facility, based on consequence of failure? | Question ID- 13c<br>What is your hazard categorisation of this facility, based on consequence of failure? (Other classification: e.g., country specific; if applicable) | Question ID- 14<br>What guideline do you follow for the classification system?  | Question ID- 15<br>Has this facility, at any point in its history, failed to be confirmed or certified as stable, or experienced notable stability concerns, as identified by an independent engineer (even if later certified as stable by the same or a different firm). | Question ID- 16<br>Do you have internal/in house engineering specialist oversight of this facility? Or do you have external engineering support for this purpose? | Question ID- 17a<br>Has a formal analysis of the downstream impact on communities, ecosystems and critical infrastructure in the event of catastrophic failure been undertaken and to reflect final conditions? | Question ID- 17c<br>If so, when did this assessment take place? (Year) | Question ID- 18a<br>Is there a closure plan in place for this dam? | Question ID- 18b<br>Does it include long term monitoring? | Question ID- 19<br>Have you, or do you plan to, assess your tailings facilities against the impact of more regular extreme weather events as a result of climate change, e.g. over the next two years? | Question ID- 20<br>Any other relevant information and supporting documentation. Please state if you have omitted any other exposure to tailings facilities through any joint ventures you may have.  |
|--------------------------------|--|-----------------------------------|---|---------------------------|------------------------------|---|--|---|--|--------------------------|---|---|----------------------------------|--|---|--|--|--|--|--|---|---|--|---|---|--|--|---|--|--|
| Anglo American                 | Sibanye-Stillwater Kroondal Operations (Pty) Ltd | Platinum                          | Rustenburg Platinum Mines (Pty) Ltd; Sibanye-Stillwater Kroondal Operations (Pty) Ltd | South Africa              | Kroondal JV (PSA1)           | K1  | -25.71306                              | 27.3296                                 | Non-operated JV (NOJV)                   | Active                   | 1999  | Yes   | Upstream                         | 43   | 9,620,000   | 10,964,000   | 2022   | Yes  | Major  | Extreme  | High  | Anglo American Technical Standard (AA TS 602 001) GISTM SANS 10286: 1998)   | No   | External  | Yes   | 2022   | Yes  | Yes   | Yes  | Q11: ITRP audit was done in 2022.<br>Q17: Dam break analysis was completed.  |
| Anglo American                 | Sibanye-Stillwater Kroondal Operations (Pty) Ltd | Platinum                          | Rustenburg Platinum Mines (Pty) Ltd; Sibanye-Stillwater Kroondal Operations (Pty) Ltd | South Africa              | Kroondal JV (PSA1)           | K2&K150 TSF   | -25.72806                              | 27.37194                                | Non-operated JV (NOJV)                   | Active                   | 2001  | Yes   | Upstream                         | 46   | 49,532,341  | 57,780,000   | 2022   | Yes  | Major  | Extreme  | High  | Anglo American Technical Standard (AA TS 602 001) GISTM SANS 10286: 1998)   | Yes  | External  | Yes   | 2022   | Yes  | No  | Yes  | Q1: K2 was constructed to abut K150 and share a common wall separating the deposition between these structures hence reported as a single facility.<br>Q11: ITRP audit was done in 2022.<br>Q15: The first buttress construction on this TSF started in 2011 on the North side of TSF, and in 2013 South side of TSF, 2015, 2016 and 2017 to restore stability. Order was placed to complete a full geotechnical investigation which includes CPTu testing to be completed by July 2023.   |
| Anglo American                 | Sibanye-Stillwater Kroondal Operations (Pty) Ltd | Platinum                          | Rustenburg Platinum Mines (Pty) Ltd; Sibanye-Stillwater Kroondal Operations (Pty) Ltd | South Africa              | Kroondal JV (PSA2)           | Marikana  | -25.73251                              | 27.40939                                | Non-operated JV (NOJV)                   | Active                   | 1999  | Yes   | Upstream                         | 27   | 11,600,000  | 34,800,000   | 2021   | Yes  | Major  | Very High  | High  | Anglo American Technical Standard (AA TS 602 001) GISTM SANS 10286: 1998)   | Yes  | External  | Yes   | 2022   | Yes  | No  | Yes  | Q15: A buttress was constructed to restore stability in 2010 and 2012. Buttress construction is ongoing 2022/2023. Order was placed to complete a full geotechnical investigation which includes CPTu testing to be completed by July 2023.  |
| Anglo American                 | Messina Platinum Mines Ltd                       | Platinum                          | Sibanye-Stillwater Baobab Mining Operation (Pty) Ltd                                  | South Africa              | Baobab mining operation      | Baobab  | -24.3698                               | 29.4714                                 | Mogalakwena Concentrator Lease Agreement | Active                   | 2002  | Yes   | Upstream                         | 18   | 6,708,821   | 10,997,424   | 2022   | Yes  | High   | Very High  | High  | Anglo American Technical Standard (AA TS 602 001) GISTM SANS 10286: 1998)   | No   | Both  | Yes   | 2021   | Yes  | Yes   | Yes  | Q5: Operated from Sept 2002 until Dec 2008 when it was placed "Care and Maintenance" by Luamin. Re-commissioned on 22 Nov 2012 for RPM lease.<br>Q11: Report No: 50225/2020/03. Baobab Independent Review Phase 1, issued March 2021.<br>Q19: The independent report No: 50225/2020/03 analyses indicates that the dam can cater for 1:5000 Flood for CCS rating High. Climate change impacts are included in the GISTM implementation plan, with timelines as per the schedule set out by ICMM.   |
| Anglo American                 | Modikwa Platinum (Pty) Ltd                       | Platinum                          | Rustenburg Platinum Mines (Pty) Ltd; ARM Mining Consortium Limited                    | South Africa              | Modikwa JV                   | Modikwa   | -24.651158                             | 30.1519                                 | Non-operated JV (NOJV)                   | Active                   | 2005  | Yes   | Upstream                         | 50   | 25,000,000  | 31,000,000   | 2022   | Yes  | Major  | Extreme  | High  | Anglo American Technical Standard (AA TS 602 001) GISTM SANS 10286: 1998)   | Yes  | External  | Yes   | 2020   | Yes  | No  | Yes  | Q15: A buttress was constructed to restore stability. Phase 1 buttress construction commenced in December 2011 and was completed in September 2012. Phase 2 buttress construction commenced in October 2015 and was completed in August 2017. In 2022 a slope stability assessment which was conducted by the EoR showed that some sections were not meeting the minimum required FoS as per AA TS 602 001. Buttress design is currently underway.<br>Q17: Various studies dealing with social/ecological impacts available from the mine including EIA/EMPr and biodiversity impact reports for the whole mine. Formal dam breach analysis was done for TSFs.<br>Q18: Requirement for long-term monitoring will be outcome of selected closure design. Updating of the closure design is in progress.<br>Q19: Climate change assessment to be carried out as part of GISTM implementation plan. |
| Anglo American                 | Cia. Minera Doña Inés de Collahuasi              | Base Metals - Copper              | Anglo American; Glencore; Mitsui  | Chile                     | Collahuasi                   | Depósito de Relaves Pampa Pabellón                    | -20.94527778                           | -68.60444444                            | Non-operated JV (NOJV)                   | Active                   | 1999  | Yes   | Downstream                       | 82   | 692,000,000   | 947,200,000  | 2022   | Yes  | Major  | Not assessed   | Significant to very high  | Anglo American Technical Standard (AA TS 602 001) GISTM SANS 10286: 1998) PROTOCOL 14 - TAILINGS STORAGE FACILITY AND DAM MANAGEMENT. GLENORE | No   | Both  | Yes   | 2021   | Yes  | Yes   | Yes  | Q1: Main Wall constructed from Waste Rock, the construction of which is supervised by Wood.  |
| Anglo American                 | Debswana Diamond Company                         | De Beers - Debswana               | Government of Botswana; De Beers Group of Companies                                   | Botswana                  | Damtshaa                     | CRD   | -21.310665                             | 25.541427                               | Non-operated JV (NOJV)                   | Inactive                 | 2003  | Yes   | Stack                            | 52   | 5,386,250   | 5,386,250  | 2022   | Yes  | Moderate   | Significant  | Not Applicable  | Anglo American Technical Standard (AA TS 602 001) & GISTM   | No   | Both  | No  |  | Yes  | Yes   | Yes  | Q4: Mine under care and maintenance.<br>Q9: Based on latest production data.<br>Q17: Zone of influence classification was determined as per SANS 10286 in 2019.<br>Q18: Preliminary Closure plan - >15 years remaining.<br>Q19: Climate change impacts are included in our GISTM implementation plan.  |
| Anglo American                 | Debswana Diamond Company                         | De Beers - Debswana               | Government of Botswana; De Beers Group of Companies                                   | Botswana                  | Damtshaa                     | FRD   | -21.307141                             | 25.547465                               | Non-operated JV (NOJV)                   | Inactive                 | 2003  | Yes   | Mixed                            | 18   | 4,235,057   | 4,235,057  | 2022   | Yes  | High   | High   | Not Applicable  | Anglo American Technical Standard (AA TS 602 001)   | No   | Both  | Yes   | 2014   | Yes  | Yes   | Yes  | Q4: Mine under care and maintenance.<br>Q9: Based on latest monthly survey.<br>Q17: Zone of influence classification was determined as per SANS 10286 in 2019.<br>Q18: Preliminary Closure plan - >15 years remaining.<br>Q19: Climate change impacts are included in our GISTM implementation plan.   |
| Anglo American                 | Debswana Diamond Company                         | De Beers - Debswana               | Government of Botswana; De Beers Group of Companies                                   | Botswana                  | Jwaneng                      | FRD 1-4   | -24.54055556                           | 24.68                                   | Non-operated JV (NOJV)                   | Inactive                 | 1981  | No  | Upstream                         | 23   | 49,100,000  | 49,100,000   | 2022   | No   | Major  | Very High  | Not Applicable  | Anglo American Technical Standard (AA TS 602 001) & GISTM   | No   | Both  | Yes   | 2021   | Yes  | Yes   | Yes  | Q1: Four contiguous tailings dams.<br>Q6: Concurrent rehabilitation closure studies ongoing.<br>Q12: Design documents not available.<br>Q17: There is a dam breach analysis developed and the latest dam breach analysis was done in 2021.<br>Q18: Preliminary Closure plan - >15 years remaining.<br>Q19: Climate change impacts are included in our GISTM implementation plan, with timelines as per the schedule set out by ICMM.   |
| Anglo American                 | Debswana Diamond Company                         | De Beers - Debswana               | Government of Botswana; De Beers Group of Companies                                   | Botswana                  | Jwaneng                      | FRD 7   | -24.52722222                           | 24.66472222                             | Non-operated JV (NOJV)                   | Active                   | 1998  | Yes   | Mixed                            | 19   | 45,218,479  | 50,300,000   | 2022   | Yes  | Major  | Very High  | Not Applicable  | Anglo American Technical Standard (AA TS 602 001) & GISTM   | No   | Both  | Yes   | 2021   | Yes  | Yes   | Yes  | Q9: Deposition on the Facility since August 2020.<br>Q10: Projected Capacity in 5 years.<br>Q17: There is a dam breach analysis developed and the latest dam breach analysis was done in 2021.<br>Q18: Preliminary Closure plan - >15 years remaining.<br>Q19: Climate change impacts are included in our GISTM implementation plan, with timelines as per the schedule set out by ICMM.   |
| Anglo American                 | Debswana Diamond Company                         | De Beers - Debswana               | Government of Botswana; De Beers Group of Companies                                   | Botswana                  | Jwaneng                      | FRD 8   | -24.52722222                           | 24.66777778                             | Non-operated JV (NOJV)                   | Inactive                 | 2003  | Yes   | Mixed                            | 23   | 38,159,401  | 51,969,244   | 2022   | Yes  | Major  | High   | Not Applicable  | Anglo American Technical Standard (AA TS 602 001) & GISTM   | No   | Both  | Yes   | 2021   | Yes  | Yes   | Yes  | Q8: Based on 2022 survey.<br>Q9: Deposition stopped in August 2020.<br>Q10: Projected capacity in 5 years after 9m wall raise.<br>Q17: There is a dam breach analysis developed and the latest dam breach analysis was done in 2021.<br>Q18: Preliminary Closure plan - >15 years remaining.<br>Q19: Climate change impacts are included in our GISTM implementation plan, with timelines as per the schedule set out by ICMM.   |
| Anglo American                 | Debswana Diamond Company                         | De Beers - Debswana               | Government of Botswana; De Beers Group of Companies                                   | Botswana                  | Jwaneng                      | JMP CRD   | -24.55138889                           | 24.70305556                             | Non-operated JV (NOJV)                   | Active                   | 2014  | Yes   | Stack                            | 41   | 5,641,202   | 11,000,000   | 2022   | Yes  | High   | Significant  | Not Applicable  | Anglo American Technical Standard (AA TS 602 001) & GISTM   | No   | Both  | No  |  | Yes  | Yes   | Yes  | Q8: Dump Advancement at <3" crest profile.<br>Q9: Based 5-Year Deposition Plan. Previous production rate.<br>Q10: Projected dump volume based on the 5-Year Deposition Plan.<br>Q7: Residue has a high moisture content that causes run-down. No stability concerns are noted.<br>Q17: Zone of influence classification was determined as per SANS 10286 in 2019.<br>Q18: Preliminary Closure plan - >15 years remaining.<br>Q19: Climate change impacts are included in our GISTM implementation plan, with timelines as per the schedule set out by ICMM.  |
| Anglo American                 | Debswana Diamond Company                         | De Beers - Debswana               | Government of Botswana; De Beers Group of Companies                                   | Botswana                  | Jwaneng                      | MTP & RP CRD  | -24.56666667                           | 24.68888889                             | Non-operated JV (NOJV)                   | Active                   | 1982  | Yes   | Stack                            | 41   | 64,329,789  | 89,021,734   | 2022   | Yes  | High   | High   | Not Applicable  | Anglo American Technical Standard (AA TS 602 001) & GISTM   | No   | Both  | No  |  | Yes  | Yes   | Yes  | Q8: Current maximum height remained the same. Deposition during intervening period at different legs that were lower in 2018 Lidar Survey.<br>Q9: Based 5-Year Deposition Plan. Previous data based on 2018 survey.<br>Q10: Projected dump volume based on the 5-Year Deposition Plan.<br>Q7: Residue has a high moisture content that causes run-down. No stability concerns are noted.<br>Q17: Zone of influence classification was determined as per SANS 10286 in 2019.<br>Q18: Preliminary Closure plan - >15 years remaining.<br>Q19: Climate change impacts are included in our GISTM implementation plan, with timelines as per the schedule set out by ICMM.  |

# Non-Managed Operations

|                |                            |                                    |   |           |                     |   |              |             |                        |          |      |     |            |    |            |            |      |     |               |                |                |  |     |          |     |      |     |     |  |  |
|----------------|----------------------------|------------------------------------|---|-----------|---------------------|---|--------------|-------------|------------------------|----------|------|-----|------------|----|------------|------------|------|-----|---------------|----------------|----------------|--|-----|----------|-----|------|-----|-----|--|--|
| Anglo American | Debswana Diamond Company   | De Beers - Debswana                | Government of Botswana; De Beers Group of Companies | Botswana  | Lethlakane          | LTP CRD                                       | -21.512789   | 25.697697   | Non-operated JV (NOJV) | Active   | 2016 | Yes | Stack      | 47 | 5,200,000  | 8,000,000  | 2022 | Yes | Moderate      | Significant    | Not Applicable | Anglo American Technical Standard (AA TS 602 001) & GISTM  | No  | Both     | No  | No   | No  | Yes | Q8: Based on latest survey.<br>Q9: Based on April 2021 production data.<br>Q10: Based on projected 5 year production plan.<br>Q17: Zone of influence classification was determined as per SANS 10286 in 2019.<br>Q18: Preliminary Closure plan - >15 years remaining.<br>Q19: Climate change impacts are included in our GISTM implementation plan |  |
| Anglo American | Debswana Diamond Company   | De Beers - Debswana                | Government of Botswana; De Beers Group of Companies | Botswana  | Lethlakane          | LTP FRD                                       | -21.503149   | 25.697415   | Non-operated JV (NOJV) | Active   | 1976 | Yes | Upstream   | 14 | 19,260,869 | 21,762,634 | 2022 | Yes | Major         | High           | Not Applicable | Anglo American Technical Standard (AA TS 602 001) & GISTM  | No  | Both     | Yes | 2021 | Yes | Yes | Yes  | Q1: Currently 14 contiguous tailings dams.<br>Q8: Based on latest monthly survey.<br>Q9: Based on April 2021 production data.<br>Q10: Based on projected 5 year production plan.<br>Q17: Zone of influence classification was determined as per SANS 10286 in 2019.<br>Q18: Preliminary Closure plan - >15 years remaining.<br>Q19: Climate change impacts are included in our GISTM implementation plan   |
| Anglo American | Debswana Diamond Company   | De Beers - Debswana                | Government of Botswana; De Beers Group of Companies | Botswana  | Orapa               | Plant 1 CRD                                   | -21.300428   | 25.413368   | Non-operated JV (NOJV) | Inactive | 1971 | Yes | Stack      | 76 | 52,400,000 | 52,400,000 | 2022 | No  | Moderate      | Significant    | Not Applicable | Anglo American Technical Standard (AA TS 602 001) & GISTM  | Yes | Both     | No  |      | Yes | Yes | Yes  | Q7: Deposition stopped in December 2020.<br>Q8: Based on latest survey.<br>Q9: Based on December 2020 production data.<br>Q12: Design report not available.<br>Q15: Residue had a high moisture content and grits content that caused slumping and subsidence. Deposition was stopped in December 2020.<br>Q18: Preliminary Closure plan - >15 years remaining.<br>Q19: Climate change impacts are included in our GISTM implementation plan   |
| Anglo American | Debswana Diamond Company   | De Beers - Debswana                | Government of Botswana; De Beers Group of Companies | Botswana  | Orapa               | Plant 1 Old Slimes dams (A, B, C, D paddocks) | -21.296039   | 25.379076   | Non-operated JV (NOJV) | Inactive | 1971 | No  | Upstream   | 16 | 28,800,000 | 28,800,000 | 2022 | Yes | Major         | Very High      | Not Applicable | Anglo American Technical Standard (AA TS 602 001) & GISTM  | No  | Both     | No  |      | Yes | Yes | Yes  | Q1: 4 continuous tailings dams (Dam A, B, C and D).<br>Q6: The dam has been dormant since 1998 Until March 2019 to April 2019 on Dam B during an emergency and there are no plans to return to these dams.<br>Q8: Derived from 2021 Lidar Survey.<br>Q17: Zone of influence classification was determined as per SANS 10286 in 2019.<br>Q18: Preliminary Closure plan - >15 years remaining.<br>Q19: Climate change impacts are included in our GISTM implementation plan  |
| Anglo American | Debswana Diamond Company   | De Beers - Debswana                | Government of Botswana; De Beers Group of Companies | Botswana  | Orapa               | Plant 2 CRD                                   | -21.299375   | 25.399132   | Non-operated JV (NOJV) | Active   | 2000 | Yes | Stack      | 73 | 18,900,000 | 28,010,980 | 2022 | Yes | High          | High           | Not Applicable | Anglo American Technical Standard (AA TS 602 001) & GISTM  | No  | Both     | No  |      | Yes | Yes | Yes  | Q8: Based on latest monthly survey.<br>Q10: Based on projected 5 year production plan.<br>Q17: Zone of influence classification was determined as per SANS 10286 in 2019.<br>Q18: Preliminary Closure plan - >15 years remaining.<br>Q19: Climate change impacts are included in our GISTM implementation plan   |
| Anglo American | Debswana Diamond Company   | De Beers - Debswana                | Government of Botswana; De Beers Group of Companies | Botswana  | Orapa               | FRD 1   | -21.323131   | 25.393447   | Non-operated JV (NOJV) | Inactive | 2000 | Yes | Upstream   | 19 | 17,600,000 | 17,600,000 | 2022 | Yes | Major         | Very High      | Not Applicable | Anglo American Technical Standard (AATS 602 001) & GISTM   | Yes | Both     | Yes | 2016 | Yes | Yes | Yes  | Q5: Deposition was stopped in November 2018.<br>Q8: Based on latest monthly survey.<br>Q15: FRD1 experienced signs of stress between 2002 and 2003. Engineers deemed it unsafe to continue operating at the time and recommended a new emergency dam, FRD2. The dam remained dormant from 2004 until 2016, after results of the stability analysis indicated that it could be recommissioned only after implementing some remedial work on it. 2019 Stability analysis has shown low FoS. Deposition in the dam was stopped in November 2018 and there are no plans to continue deposition on the dam.<br>Q17: Dam breach analysis for LoM facility was conducted in 2016.<br>Q18: Preliminary Closure plan - >15 years remaining.<br>Q19: Climate change impacts are included in our GISTM implementation plan. |
| Anglo American | Debswana Diamond Company   | De Beers - Debswana                | Government of Botswana; De Beers Group of Companies | Botswana  | Orapa               | FRD 2   | -21.335378   | 25.396594   | Non-operated JV (NOJV) | Inactive | 2004 | Yes | Upstream   | 39 | 93,100,000 | 93,100,000 | 2022 | Yes | Major         | Very High      | Not Applicable | Anglo American Technical Standard (AATS 602 001) & GISTM   | Yes | Both     | Yes | 2016 | Yes | Yes | Yes  | Q6: Deposition was stopped in March 2019.<br>Q8: Based on latest monthly survey.<br>Q12: Concurrent rehabilitation detailed design of facility available.<br>Q15: Concurrent rehabilitation construction works has commenced on the west and east wall and there are no plans to continue deposition on the dam.<br>Q17: Dam breach analysis for LoM facility was conducted in 2016.<br>Q19: Climate change impacts are included in our GISTM implementation plan  |
| Anglo American | Debswana Diamond Company   | De Beers - Debswana                | Government of Botswana; De Beers Group of Companies | Botswana  | Orapa               | FRD 3   | -21.275932   | 25.393093   | Non-operated JV (NOJV) | Active   | 2019 | Yes | Centrelne  | 10 | 10,880,000 | 38,063,557 | 2022 | Yes | High          | High           | Not Applicable | Anglo American Technical Standard (AA TS 602 001) & GISTM  | No  | Both     | Yes | 2018 | Yes | Yes | Yes  | Q1: Currently 4 contiguous tailings dams.<br>Q9: Based on April 2021 production data.<br>Q10: Based on projected 5 year production plan.<br>Q17: Dam breach analysis for LoM facility was conducted in 2018.<br>Q18: Preliminary Closure plan - >15 years remaining.<br>Q19: Climate change impacts are included in our GISTM implementation plan  |
| Anglo American | Jellinbah Group            | Bulk Commodities - Jellinbah Group | Jellinbah Group, Marubeni Group, Sojitz Group       | Australia | Jellinbah East Mine | Max Pit Tailings Facility                     | -23.38194444 | 148.9333333 | Non-operated JV (NOJV) | Inactive | 2003 | Yes | In-pit     | 0  | 2,604,000  | 2,604,000  | 2022 | Yes | Not Assessed  | Not Assessed   | Significant    | Queensland Department of Environment and Science - Manual for assessing consequence categories and hydraulic performance of structures | No  | External | Yes | 2017 | Yes | No  | Yes  | Q19: Climate change impacts are included in our GISTM implementation plan.<br>Q13: The significant category relates to process water being released to the environment.<br>Q17: The receiving environment impact was assessed as part of the consequence category assessment.<br>Q19: The annual update of the site water management plan takes into account climate change-related weather events.  |
| Anglo American | Jellinbah Group            | Bulk Commodities - Jellinbah Group | Jellinbah Group, Marubeni Group, Sojitz Group       | Australia | Jellinbah East Mine | Russet's Dam Tailings Facility                | -23.38194444 | 148.9333333 | Non-operated JV (NOJV) | Active   | 2021 | Yes | In-pit     | 0  | 213,000    | 1,180,000  | 2022 | Yes | Not Assessed  | Not Assessed   | Significant    | Queensland Department of Environment and Science - Manual for assessing consequence categories and hydraulic performance of structures | No  | External | Yes | 2020 | Yes | No  | Yes  | Q13: The significant category relates to process water being released to the environment.<br>Q17: The receiving environment impact was assessed as part of the consequence category assessment.<br>Q19: The annual update of the site water management plan takes into account climate change-related weather events.  |
| Anglo American | Jellinbah Group            | Bulk Commodities - Jellinbah Group | Jellinbah Group, Marubeni Coal, AMCI                | Australia | Lake Vermont Mine   | CDA1  | -22.45638889 | 148.4013889 | Non-operated JV (NOJV) | Active   | 2008 | Yes | Upstream   | 26 | 6,716,000  | 6,900,000  | 2022 | Yes | Not Assessed  | Not Assessed   | Significant    | Queensland Department of Environment and Science - Manual for assessing consequence categories and hydraulic performance of structures | No  | External | Yes | 2014 | Yes | Yes | Yes  | Q9, Q10: Volumes relate to combined coarse reject and tailings volumes.<br>Q17: The receiving environment impact was assessed as part of the consequence category assessment.<br>Q18: Lake Vermont Mine Rehabilitation Plan includes rehabilitating the CDAs such that they are water shedding, capped and covered with topsoil, seeded with native grass species, and monitored along with other mine rehabilitation over the life of the mine.   |
| Anglo American | Jellinbah Group            | Bulk Commodities - Jellinbah Group | Jellinbah Group, Marubeni Coal, AMCI                | Australia | Lake Vermont Mine   | CDA2  | -22.44861111 | 148.4016667 | Non-operated JV (NOJV) | Active   | 2012 | Yes | Upstream   | 25 | #####      | 12,000,000 | 2022 | Yes | Not Assessed  | Not Assessed   | Significant    | Queensland Department of Environment and Science - Manual for assessing consequence categories and hydraulic performance of structures | No  | External | Yes | 2016 | Yes | Yes | Yes  | Q9, Q10: Volumes relate to combined coarse reject and tailings volumes.<br>Q17: The receiving environment impact was assessed as part of the consequence category assessment.<br>Q18: Lake Vermont Mine Rehabilitation Plan includes rehabilitating the CDAs such that they are water shedding, capped and covered with topsoil, seeded with native grass species, and monitored along with other mine rehabilitation over the life of the mine.   |
| Anglo American | Jellinbah Group            | Bulk Commodities - Jellinbah Group | Jellinbah Group, Marubeni Coal, AMCI                | Australia | Lake Vermont Mine   | CDA3  | -22.45638889 | 148.3944444 | Non-operated JV (NOJV) | Active   | 2017 | Yes | Upstream   | 22 | 6,878,000  | 14,800,000 | 2022 | Yes | Not Assessed  | Not Assessed   | Significant    | Queensland Department of Environment and Science - Manual for assessing consequence categories and hydraulic performance of structures | No  | External | Yes | 2016 | Yes | Yes | Yes  | Q9, Q10: Volumes relate to combined coarse reject and tailings volumes.<br>Q17: The receiving environment impact was assessed as part of the consequence category assessment.<br>Q18: Lake Vermont Mine Rehabilitation Plan includes rehabilitating the CDAs such that they are water shedding, capped and covered with topsoil, seeded with native grass species, and monitored along with other mine rehabilitation over the life of the mine.   |
| Anglo American | Namdeb Diamond Corporation | De Beers - Namdeb                  | De Beers; Government of the Republic of Namibia     | Namibia   | Orange River        | Auchas CRD                                    | -28.13861111 | 16.76833333 | Non-operated JV (NOJV) | Inactive | 1990 | No  | Stack      | 64 | 5,200,000  | 5,200,000  | 2022 | No  | Insignificant | Not Classified | Not applicable | Anglo American Technical Standard (AA TS 602 001) and GISTM  | No  | Both     | No  |      | No  | No  | Yes  | Q6: No design currently available.<br>Q12: No design and construction documents found in the archives. CRD only shaped as per rehabilitation strategy.<br>Q18: The updated closure plan makes provision for rehabilitation of the CRDs b) Aftercare and monitoring is part of the long term closure costs.<br>Q19: Climate change impacts are included in the our GISTM implementation plan, with timelines as per the schedule set out by ICMM  |
| Anglo American | Namdeb Diamond Corporation | De Beers - Namdeb                  | De Beers; Government of the Republic of Namibia     | Namibia   | Orange River        | Auchas FRD 1                                  | -28.34972222 | 16.78       | Non-operated JV (NOJV) | Inactive | 1990 | Yes | Downstream | 36 | 1,200,000  | 1,200,000  | 2022 | No  | Major         | Significant    | Not applicable | Anglo American Technical Standard (AA TS 602 001) and GISTM  | No  | Both     | No  |      | No  | No  | Yes  | Q6: No designs available to determine if operation was according to design. As-is drawings to be done.<br>Q8: Updated height assessment.<br>Q12: No engineering and construction drawings found in archives.<br>Q13: Assuming breach flow does not reach Orange River, Zol to be determined. Dam Break analysis to be conducted.<br>Q17: Downstream analysis to be finalized in 2023.<br>Q19: Climate change impacts are included in the our GISTM implementation plan, with timelines as per the schedule set out by ICMM   |

# Non-Managed Operations

|                |                            |                            |   |           |                  |                               |              |             |                        |          |      |     |            |      |            |            |      |         |               |                |                |   |     |      |     |      |     |     |   |   |
|----------------|----------------------------|----------------------------|---|-----------|------------------|-------------------------------|--------------|-------------|------------------------|----------|------|-----|------------|------|------------|------------|------|---------|---------------|----------------|----------------|---|-----|------|-----|------|-----|-----|---|---|
| Anglo American | Namdeb Diamond Corporation | De Beers - Namdeb          | De Beers; Government of the Republic of Namibia | Namibia   | Orange River     | Daberas DTP CRD               | -28.27583333 | 16.77666667 | Non-operated JV (NOJV) | Active   | 2000 | No  | Stack      | 49   | 10,077,558 | 10,601,081 | 2022 | No      | Moderate      | Not Classified | Not applicable | Anglo American Technical Standard (AA TS 602 001) and GISTM | No  | Both | No  | No   | No  | Yes | Q6: No design currently available.<br>Q8, Q9, Q10: Updated survey.<br>Q12: No engineering and construction drawings found in archives.<br>Q17: Downstream analysis to be finalized in 2023.<br>Q18: The updated closure plan makes provision for rehabilitation of the CRD's b) Aftercare and monitoring is part of the long term closure costs.<br>Q19: Climate change impacts are included in the our GISTM implementation plan, with timelines as per the schedule set out by ICMM.  |   |
| Anglo American | Namdeb Diamond Corporation | De Beers - Namdeb          | De Beers; Government of the Republic of Namibia | Namibia   | Orange River     | Daberas FRD 2 (Starter Dam)   | -28.26       | 16.80305556 | Non-operated JV (NOJV) | Inactive | 2000 | No  | Downstream | 20   | 400,000    | 400,000    | 2022 | No      | Major         | Very High      | Not applicable | Anglo American Technical Standard (AA TS 602 001) and GISTM | No  | Both | No  | No   | No  | Yes | Q6: No designs available to determine if operation was according to design.<br>Q12: No engineering and construction drawings found in archives.<br>Q13: Zol to be determined.<br>Q17: Downstream analysis to be finalized 2023.<br>Q19: Climate change impacts are included in the our GISTM implementation plan, with timelines as per the schedule set out by ICMM.   |   |
| Anglo American | Namdeb Diamond Corporation | De Beers - Namdeb          | De Beers; Government of the Republic of Namibia | Namibia   | Orange River     | Daberas FRD 3 (Megadam)       | -28.24833333 | 16.77416667 | Non-operated JV (NOJV) | Inactive | 2003 | No  | Downstream | 30   | 3,600,000  | 3,600,000  | 2022 | No      | Major         | High           | Not applicable | Anglo American Technical Standard (AA TS 602 001) and GISTM | No  | Both | No  | No   | No  | Yes | Q6: No designs available to determine if operation was according to design.<br>Q12: No engineering and construction drawings found in archives.<br>Q13: Zol to be determined.<br>Q17: Downstream analysis to be finalized 2023.<br>Q19: Climate change impacts are included in the our GISTM implementation plan, with timelines as per the schedule set out by ICMM.   |   |
| Anglo American | Namdeb Diamond Corporation | De Beers - Namdeb          | De Beers; Government of the Republic of Namibia | Namibia   | Orange River     | Daberas FRD 4 (Zone 7)        | -28.24361111 | 16.77972222 | Non-operated JV (NOJV) | Inactive | 2011 | No  | In-pit     | 0    | 1,300,000  | 1,300,000  | 2022 | Yes     | Insignificant | Low            | Not applicable | Anglo American Technical Standard (AA TS 602 001) and GISTM | Yes | Both | No  | No   | No  | Yes | Q6: In-pit, no design necessary.<br>Q15: Cracks became visible in division wall as well as seepage at the toe in 2011. Deposition was stopped, a buttress was constructed and access to division wall barricaded off.<br>Q17: In-pit, no assessment necessary.<br>Q18: a) The updated EMPR for which an environmental clearance was issued b) it makes provision in general for the upstream and downstream monitoring of the river in the event that there is a potential risk for pollution. In-pit, no monitoring necessary.<br>Q19: Climate change impacts are included in the our GISTM implementation plan, with timelines as per the schedule set out by ICMM.                                       |   |
| Anglo American | Namdeb Diamond Corporation | De Beers - Namdeb          | De Beers; Government of the Republic of Namibia | Namibia   | Orange River     | Daberas FRD 5 (Zone 8)        | -28.24111111 | 16.77611111 | Non-operated JV (NOJV) | Active   | 2014 | Yes | In-pit     | 0    | 1,470,000  | 1,793,824  | 2022 | Yes     | Insignificant | Low            | Not applicable | Anglo American Technical Standard (AA TS 602 001) and GISTM | No  | Both | No  | No   | No  | Yes | Q6: In-pit, no design necessary.<br>Q10: Previously the volume was the available volume in the pit, not the forecast according to LOM.<br>Q17: In-pit, no assessment necessary.<br>Q18: a) The updated EMPR for which an environmental clearance was issued b) it makes provision in general for the upstream and downstream monitoring of the river in the event that there is a potential risk for pollution. In-pit, no monitoring necessary.<br>Q19: Climate change impacts are included in the our GISTM implementation plan, with timelines as per the schedule set out by ICMM.  |   |
| Anglo American | Namdeb Diamond Corporation | De Beers - Namdeb          | De Beers; Government of the Republic of Namibia | Namibia   | Orange River     | Daberas OTP CRD               | -28.24611111 | 16.80583333 | Non-operated JV (NOJV) | Inactive | 2006 | No  | Stack      | 57   | 8,800,000  | 8,800,000  | 2022 | No      | Insignificant | Not Classified | Not applicable | Anglo American Technical Standard (AA TS 602 001) and GISTM | No  | Both | No  | No   | No  | Yes | Q6: No design currently available.<br>Q12: No engineering and construction drawings found in archives.<br>Q17: Downstream analysis to be finalized in 2023.<br>Q18: The updated closure plan makes provision for rehabilitation of the CRD's b) Aftercare and monitoring is part of the long term closure costs.<br>Q19: Climate change impacts are included in the our GISTM implementation plan, with timelines as per the schedule set out by ICMM.  |   |
| Anglo American | Namdeb Diamond Corporation | De Beers - Namdeb          | De Beers; Government of the Republic of Namibia | Namibia   | Orange River     | Sendelingsdrif CRD - TD1      | -28.16222222 | 16.85222222 | Non-operated JV (NOJV) | Active   | 2014 | No  | Stack      | 18   | 400,000    | 400,000    | 2022 | No      | Insignificant | Not Classified | Not applicable | Anglo American Technical Standard (AA TS 602 001) and GISTM | No  | Both | No  | No   | No  | Yes | Q6: No design currently available.<br>Q12: This is a considered to be a temporary storage facility. No construction or engineering drawings required at time of construction.<br>Q10: Deposition changed to pit backfilling instead of dumping on CRD, only occasional dumping envisaged.<br>Q17: Downstream analysis to be finalized in 2023.<br>Q18: The updated closure plan makes provision for rehabilitation of the CRD's b) Aftercare and monitoring is part of the long term closure costs.<br>Q19: Climate change impacts are included in the our GISTM implementation plan, with timelines as per the schedule set out by ICMM.   |   |
| Anglo American | Namdeb Diamond Corporation | De Beers - Namdeb          | De Beers; Government of the Republic of Namibia | Namibia   | Orange River     | Sendelingsdrif FRD 6 (Zone 7) | -28.15694444 | 16.84083333 | Non-operated JV (NOJV) | Inactive | 2014 | No  | Downstream | 5    | 750,000    | 750,000    | 2022 | No      | High          | High           | Not applicable | Anglo American Technical Standard (AA TS 602 001) and GISTM | No  | Both | No  | No   | No  | Yes | Q6: Used to be in-pit and now raised a downstream embankment along the low point of the perimeter.<br>Q12: No engineering drawings and construction required for in-pit facilities. Survey and planning department considered pit layout.<br>Q17: Downstream analysis to be finalized in 2023.<br>Q18: a) The updated EMPR for which an environmental clearance was issued b) it makes provision in general for the upstream and downstream monitoring of the river in the event that there is a potential risk for pollution. Specific closure plan to be developed.<br>Q19: Climate change impacts are included in the our GISTM implementation plan, with timelines as per the schedule set out by ICMM. |   |
| Anglo American | Namdeb Diamond Corporation | De Beers - Namdeb          | De Beers; Government of the Republic of Namibia | Namibia   | Orange River     | Sendelingsdrif FRD 7 (Zone 6) | -28.14888889 | 16.84361111 | Non-operated JV (NOJV) | Active   | 2018 | Yes | Downstream | 0    | 223,000    | 1,277,761  | 2022 | Yes     | Insignificant | Low            | Not applicable | Anglo American Technical Standard (AA TS 602 001) and GISTM | No  | Both | No  | No   | No  | Yes | Q7: construction method changed - due to design change of subsequent raise.<br>Q10: LOM extended, requires additional storage capacity to be created.<br>Q17: In-pit, no assessment necessary.<br>Q18: a) The updated EMPR for which an environmental clearance was issued b) it makes provision in general for the upstream and downstream monitoring of the river in the event that there is a potential risk for pollution. In-pit, no monitoring necessary.<br>Q19: Climate change impacts are included in the our GISTM implementation plan, with timelines as per the schedule set out by ICMM.   |   |
| Anglo American | Namdeb Diamond Corporation | De Beers - Namdeb          | De Beers; Government of the Republic of Namibia | Namibia   | Southern Coastal | 1 Plant CRD                   | -28.13611111 | 15.88138889 | Non-operated JV (NOJV) | Inactive | 1951 | No  | Stack      | 46   | 3,300,000  | 3,300,000  | 2014 | No      | Insignificant | Not Classified | Not applicable | Anglo American Technical Standard (AA TS 602 001) and GISTM | No  | Both | No  | No   | No  | Yes | Q6: No design currently available.<br>Q12: No engineering and construction drawings found in archives. None required at time of construction.<br>Q17: Downstream analysis to be finalized in 2023.<br>Q18: The updated closure plan makes provision for rehabilitation of the CRD's b) Aftercare and monitoring is part of the long term closure costs.<br>Q19: Climate change impacts are included in the our GISTM implementation plan, with timelines as per the schedule set out by ICMM.   |   |
| Anglo American | Namdeb Diamond Corporation | De Beers - Namdeb          | De Beers; Government of the Republic of Namibia | Namibia   | Southern Coastal | 2 Plant CRD                   | -28.16222222 | 16.07277778 | Non-operated JV (NOJV) | Inactive | 1969 | No  | Stack      | 65   | 10,900,000 | 10,900,000 | 2014 | No      | Insignificant | Not Classified | Not applicable | Anglo American Technical Standard (AA TS 602 001) and GISTM | No  | Both | No  | No   | No  | Yes | Q6: No design currently available.<br>Q12: No engineering and construction drawings found in archives. None required at time of construction.<br>Q17: Downstream analysis to be finalized in 2023.<br>Q18: The updated closure plan makes provision for rehabilitation of the CRD's b) Aftercare and monitoring is part of the long term closure costs.<br>Q19: Climate change impacts are included in the our GISTM implementation plan, with timelines as per the schedule set out by ICMM.   |   |
| Anglo American | Namdeb Diamond Corporation | De Beers - Namdeb          | De Beers; Government of the Republic of Namibia | Namibia   | Southern Coastal | 3 Plant CRD                   | -28.16222222 | 16.27805556 | Non-operated JV (NOJV) | Inactive | 1975 | No  | Stack      | 59   | 3,600,000  | 0          | 2018 | No      | Moderate      | Not Classified | Not applicable | Anglo American Technical Standard (AA TS 602 001) and GISTM | No  | Both | No  | No   | No  | No  | Q6: No design currently available. Used to construct sea walls - will be fully reclaimed with time.<br>Q12: No engineering and construction drawings found in archives. None required at time of construction.<br>Q17: Downstream analysis to be finalized in 2023.<br>Q18: The updated closure plan makes provision for rehabilitation of the CRD's b) Aftercare and monitoring is part of the long term closure costs. No monitoring necessary, being reclaimed.<br>Q19: Not necessary, being reclaimed.  |   |
| Anglo American | Namdeb Diamond Corporation | De Beers - Namdeb          | De Beers; Government of the Republic of Namibia | Namibia   | Southern Coastal | 4 Plant CRD                   | -28.16222222 | 16.3375     | Non-operated JV (NOJV) | Inactive | 1964 | No  | Stack      | 72   | 10,100,000 | 0          | 2018 | No      | Moderate      | Not Classified | Not applicable | Anglo American Technical Standard (AA TS 602 001) and GISTM | No  | Both | No  | Yes  | No  | No  | Q6: No design currently available. Used to construct sea walls - will be fully reclaimed with time.<br>Q12: No engineering and construction drawings found in archives. None required at time of construction.<br>Q17: Downstream analysis to be finalized in 2023.<br>Q18: The updated closure plan makes provision for rehabilitation of the CRD's b) Aftercare and monitoring is part of the long term closure costs. No monitoring necessary, being reclaimed.<br>Q19: Not necessary, being reclaimed.  |   |
| Anglo American | Namdeb Diamond Corporation | De Beers - Namdeb          | De Beers; Government of the Republic of Namibia | Namibia   | Southern Coastal | PTF CRD                       | -28.59416667 | 16.40527778 | Non-operated JV (NOJV) | Inactive | 1980 | No  | Stack      | 49   | 6,000,000  | 6,000,000  | 2014 | No      | Insignificant | Not Classified | Not applicable | Anglo American Technical Standard (AA TS 602 001) and GISTM | No  | Both | No  | No   | No  | Yes | Q6: No design currently available.<br>Q12: No engineering and construction drawings found in archives. None required at time of construction.<br>Q17: Downstream analysis to be finalized in 2023.<br>Q18: The updated closure plan makes provision for rehabilitation of the CRD's b) Aftercare and monitoring is part of the long term closure costs.<br>Q19: Climate change impacts are included in the our GISTM implementation plan, with timelines as per the schedule set out by ICMM.   |   |
| Anglo American | South32                    | Bulk Commodities - South32 | South32; Anglo American                         | Australia | GEMCO            | TSF5                          | -13.975      | 136.434     | Non-operated JV (NOJV) | Inactive | 1972 | Yes | Downstream | 10   | 5          | 5          | 2022 | Yes     | -             | -              | Significant    | ANCOLD  | No  | Both | Yes | 2012 | Yes | Yes | Yes   | Q6: Not operational dam, has detailed closure design<br>Q9,10: Exact tailings volumes stored unknown, no additional storage planned<br>Q12: Historic facility and not all documentation available.<br>Q18: Closure project currently in executor. |
| Anglo American | South32                    | Bulk Commodities - South32 | South32; Anglo American                         | Australia | GEMCO            | TSF6                          | -13.980      | 136.433     | Non-operated JV (NOJV) | Inactive | 1999 | No  | Centrelne  | 10.5 | 1          | 1          | 2022 | Partial | -             | -              | Significant    | ANCOLD  | No  | Both | Yes | 2012 | Yes | Yes | Yes   | Q6: Not operational dam, has detailed closure design<br>Q9,10: Exact tailings volumes stored unknown, no additional storage planned<br>Q12: Historic facility and not all documentation available.<br>Q18: Closure project currently in executor. |
| Anglo American | South32                    | Bulk Commodities - South32 | South32; Anglo American                         | Australia | GEMCO            | TSF7                          | -13.977      | 136.428     | Non-operated JV (NOJV) | Inactive | 1999 | Yes | Downstream | 15   | 7          | 7          | 2022 | Yes     | -             | -              | Significant    | ANCOLD  | No  | Both | Yes | 2012 | Yes | Yes | Yes   | Q6: Not operational dam, has detailed closure design<br>Q9,10: Exact tailings volumes stored unknown, no additional storage planned<br>Q12: Historic facility and not all documentation available.<br>Q18: Closure project currently in executor. |

# Non-Managed Operations

|                |         |                            |                         |              |           |                            |         |         |                        |          |           |     |                        |      |       |       |      |         |   |   |                  |                |     |      |     |      |     |     |     |  |
|----------------|---------|----------------------------|-------------------------|--------------|-----------|----------------------------|---------|---------|------------------------|----------|-----------|-----|------------------------|------|-------|-------|------|---------|---|---|------------------|----------------|-----|------|-----|------|-----|-----|-----|--|
| Anglo American | South32 | Bulk Commodities - South32 | South32; Anglo American | Australia    | GEMCO     | TSF8                       | -13.993 | 136.439 | Non-operated JV (NOJV) | Inactive | 2007      | No  | Centrelime, Upstream   | 8    | 6     | 6     | 2022 | Partial | - | - | Low              | ANCOLD         | Yes | Both | Yes | 2012 | Yes | Yes | Yes | Q6. Not operational dam<br>Q7. small section of Western Embankment identified as upstream raise, this occurred in 2004.<br>Q9.10. Exact volume of tailings stored in the facility is unknown, Tailings re-mining has identified 5.3Mm3 of recoverable materials<br>Q12. Historic facility and not all documentation available.<br>Q15. TSF8 experienced a failure of its embankment on the north western corner on 3 January 2010. Prior to the failure seepage had been noted by a dozer operator who had been working in the area. Approximately 135,000m3 of water and tailings spilled into an adjacent unused pit. No one was injured and the entire spill was contained on the lease. TSF8 was decommissioned immediately after the event and the damaged embankment was repaired.<br>Q18. Sands in TSF8 will be reclaimed and reprocessed as part of its closure plan and the footprint is included in GEMCO site closure plan, following reclamation, the TSF will be backfilled with sands tailings |
| Anglo American | South32 | Bulk Commodities - South32 | South32; Anglo American | Australia    | GEMCO     | TSF10                      | -13.988 | 136.432 | Non-operated JV (NOJV) | Inactive | 2010      | No  | Single                 | 14   | 4     | 4     | 2022 | Partial | - | - | Significant      | ANCOLD         | No  | Both | Yes | 2012 | Yes | Yes | Yes | Q6. Not operational dam<br>Q7. Starter Embankment only Q9,10. Exact volume unknown, 4.1Mm3 is the design storage volume<br>Q12. Historic facility and not all the documentation is available.<br>Q18. Sands in TSF10 is scheduled to be mined and re-processed as part of its closure plan and the footprint is included in GEMCO site closure plan  |
| Anglo American | South32 | Bulk Commodities - South32 | South32; Anglo American | Australia    | GEMCO     | TSF11                      | -13.996 | 136.449 | Non-operated JV (NOJV) | Inactive | 2013      | Yes | Downstream             | 15   | 9.589 | 12    | 2022 | Yes     | - | - | High A           | ANCOLD         | No  | Both | Yes | 2019 | Yes | Yes | Yes | Q4. TSF 11 no longer receiving Slimes tailings. Remaining tailing volumes will be used for establishment of the cap.<br>Q9 March 2022 survey results<br>Q17. Detailed CCA completed in FY22 for GISTM but not yet published<br>Q18. TSF closure is included in GEMCO site closure plan   |
| Anglo American | South32 | Bulk Commodities - South32 | South32; Anglo American | Australia    | GEMCO     | TSF13                      | -14.013 | 136.449 | Non-operated JV (NOJV) | Active   | 2018      | Yes | Single                 | 13   | 8.933 | 14    | 2022 | Yes     | - | - | High C           | ANCOLD         | No  | Both | Yes | 2017 | Yes | Yes | Yes | Q7. Starter Embankment only<br>Q9. March 2022 survey results.<br>Q20. TSF13 is the current operational Slimes dam.   |
| Anglo American | South32 | Bulk Commodities - South32 | South32; Anglo American | Australia    | GEMCO     | TSF20                      | -13.999 | 136.436 | Non-operated JV (NOJV) | Active   | 2016      | Yes | Centrelime, Downstream | 15.7 | 4.658 | 8.7   | 2022 | Yes     | - | - | High C           | ANCOLD         | No  | Both | Yes | 2020 | Yes | Yes | Yes | Q1: TSF 20 was previously TSF 16.<br>Q9: March 2022 survey results.<br>Q20: TSF20 is the current operational sands dam.  |
| Anglo American | South32 | Bulk Commodities - South32 | South32; Anglo American | Australia    | GEMCO     | TSF18                      | -13.995 | 136.430 | Non-operated JV (NOJV) | Active   | 2020      | Yes | Upstream               | 13   | 6.034 | 7.7   | 2022 | Yes     | - | - | Significant      | ANCOLD         | No  | Both | Yes | 2018 | Yes | Yes | Yes | Q1. TSF 18 was previously TSF 14<br>Q4. Facility is active but not currently receiving tailings<br>Q7. Eastern Embankment Upstream raised<br>Q9.10. March 2022 survey results  |
| Anglo American | South32 | Bulk Commodities - South32 | South32; Anglo American | South Africa | Mamatwan  | Adams pit                  | -27.381 | 22.987  | Non-operated JV (NOJV) | Active   | 2002      | Yes | Other                  | 40   | 1.47  | 1.36  | 2022 | No      | - | - | Low              | ANCOLD         | No  | Both | N/A | N/A  | Yes | No  | Yes | Q6. Currently on care and maintenance.<br>Q8. In-pit disposal with no dam wall and therefore no dam height.<br>Q11. Inspection has been completed in May 2022, with final report yet to be received<br>Q12. S32 are currently doing a retrospective design.<br>Q13 & 14. No formal hazard classification has been undertaken as the facility is located in-pit. It is likely to be considered a low under SANs 10286 or ANCOLD.<br>Q15. No instability along the slope / dump.<br>Q16. In house - covered by the rock engineering ground stability monitoring areas/<br>External - Engineer of Record.<br>Q18. Closure included in the Mamatwan closure plan after rehabilitation of area as part general surface rehabilitation with monitoring for 5 years.<br>Q19. Planning to assess climate change over the next two years.   |
| Anglo American | South32 | Bulk Commodities - South32 | South32; Anglo American | South Africa | Mamatwan  | Middelplaats TSF           | -27.369 | 22.931  | Non-operated JV (NOJV) | Inactive | -         | Yes | Upstream               | 1    | 0.006 | 0     | -    | No      | - | - | Low              | ANCOLD         | No  | Both | -   | -    | Yes | No  | Yes | Q1. This is an additional TSF identified during the GISTM implementation and internal auditing.<br>Q5. Unknown at this time and being investigated.<br>Q11. No known independent expert review. This will need to be developed with continued GISTM implementation   |
| Anglo American | South32 | Bulk Commodities - South32 | South32; Anglo American | South Africa | Mamatwan  | Mamatwan slimes TSF        | -27.397 | 22.991  | Non-operated JV (NOJV) | Closed   | 1984-1985 | Yes | Upstream               | 10   | 0.362 | 0.362 | -    | Partial | - | - | Not Applicable   | Not applicable | No  | Both | N/A | N/A  | Yes | No  | Yes | Q1. This is an additional TSF identified during the GISTM implementation and internal auditing.<br>Q11. No known independent expert review. This will need to be developed with continued GISTM implementation   |
| Anglo American | South32 | Bulk Commodities - South32 | South32; Anglo American | South Africa | Metalloys | New North Plant Sludge Dam | -26.568 | 27.988  | Non-operated JV (NOJV) | Active   | 1998      | Yes | Downstream             | 5    | 0.07  | 0.07  | 2022 | Yes     | - | - | Small Category 1 | GNR            | No  | Both | No  | No   | Yes | Yes | Yes | Q9. Metalloys is under Care and Maintenance and the start-up date is unknown.<br>Q13. Department of Water and Sanitation in terms of GNR 139 of February 2012 promulgated in terms of the Water Act, 54 of 1956 and Chapter 12 of the National Water Act (Act No. 36 of 1998), Regulations regarding the safety of dams in terms of Section 123 (1) of the National Water Act, 1998.<br>Q17. Dams are lined ponds with low risk.<br>Q18. Closure is included in Metalloys site closure plan and the facility to be capped at closure and monitored for 5 years.  |
| Anglo American | South32 | Bulk Commodities - South32 | South32; Anglo American | South Africa | Metalloys | New West Plant Sludge Dam  | -26.561 | 27.986  | Non-operated JV (NOJV) | Active   | 1998      | Yes | Downstream             | 4    | 0.1   | 0.1   | 2022 | Yes     | - | - | Small Category 1 | GNR            | No  | Both | No  | No   | Yes | Yes | Yes | Q9. Metalloys is currently under Care and Maintenance and the start-up date is unknown.<br>Q13. Department of Water and Sanitation in terms of GNR 139 of February 2012 promulgated in terms of the Water Act, 54 of 1956 and Chapter 12 of the National Water Act (Act No. 36 of 1998), Regulations regarding the safety of dams in terms of Section 123 (1) of the National Water Act, 1998.<br>Q17. Dams are lined ponds with low risk.<br>Q18. Closure is included in Metalloys site closure plan with the facility to be capped at closure and monitored for 5 years  |
| Anglo American | South32 | Bulk Commodities - South32 | South32; Anglo American | South Africa | Wessels   | Slimes Dam                 | -27.112 | 22.860  | Non-operated JV (NOJV) | Active   | 1973      | Yes | Upstream               | 7    | 0.505 | 0.013 | 2020 | No      | - | - | Low              | ANCOLD         | No  | Both | No  | N/A  | Yes | No  | Yes | Q10. The dam is currently being re-mined to recover the concentrate product and sold to the market. Minimal concurrent deposition is occurring during re-mining operations.<br>Q12. S32 are currently doing a retrospective design.<br>Q18. Closure is included in the overall Wessels closure plan with rehabilitation of area as part general surface rehabilitation.  |

## CAUTIONARY STATEMENT

### Group terminology

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